

ANNEXES OF THE JOINT PROGRAMME

Annex 1. List of related initiatives

Name of initiative/project	Key expected results	Links to the JP	Lead organization	Other partners	Budget and funding source	Contract person (name and email)
Strengthening Capacities for REDD+	<ul style="list-style-type: none"> - National REDD+ strategy. - Forest Reference Emission Level - Grievance and Redress mechanism finalized. 	Sustainable management of the forest sector.	UNDP	Cabinet of the President/Coordination Environment, NIMOS, SBB, Indigenous and Tribal Peoples	\$2,693,000 Financing Modality: Agency specific	Cedric Nelom NIMOS cnelom@nimos.org
Environmental Management for Mining (EMSAGS)	<ul style="list-style-type: none"> - Inception workshop - Stakeholder platform - ASGM Mining and Technical Extension Center operational. 	Sustainable management of mining sector	UNDP	Ministry of Natural Resources, NIMOS	\$2,000,000 Financing Modality: Agency Specific	Natural Resources Permanent Secretary secretariaat.nh@gov.sr / voorl.minnh.bron@gmail.com
Assisting Small Island Developing States (SIDS) to Integrate the Agricultural Sectors into Climate Change Priorities and Nationally Determined Contributions (NDCs)	- Integrate Agriculture Sectors into Climate Change Priorities and NDCs	Agriculture sector	FAO	Ministry of Agriculture, Husbandry & Fisheries	\$80,000 Donor Entity: GCP/ITA Financing Modality: Trust fund project funded by Italy	Mr. Iwan Samoender isamoender@hotmail.com

Preparation of Technology Needs Assessment	<ul style="list-style-type: none"> - A technology needs assessment for climate change - A technology action plan 		UNEP	NIMOS	\$270,000 Financing Modality: Agency Specific	Cedric Nelom NIMOS cnelom@nimos.org
EnGenDER (Environment Gender Disaster)	<ul style="list-style-type: none"> - To further integrate gender equality and human rights-based approaches into action on climate change, environment and disaster recovery. - Improving climate resilience for women and girls and key vulnerable populations and future generations in the Caribbean. 	Gender equality and climate change	UNDP	Ministry of Home Affairs, 'Cabinet of the President/Coordination Environment, NIMOS, NCCR	\$900,000 Donor Entity: Canada and UK/DFID Financing Modality: Agency Specific	Bureau Gender Affairs Judith Karijodrono judkarijo@gmail.com
Enabling access to SRH services in emergencies	<ul style="list-style-type: none"> - Capacity building for the integration of related SRH and MISP into emergency preparedness and response plans 		UNFPA, UNDP	NCCR, Red Cross	\$4,500	Mw. Durham Dulci (NCCR) nccr@sr.net

Caribbean and North Brazil Shelf Large Marine Ecosystem Project (CLME+)	<ol style="list-style-type: none"> 1. Define stress reduction actions to reduce pollution levels and enable rehabilitation of shrimp and ground fisheries 2. Identify a minimum of four coastal communities receive financial and technical support to implement pollution mitigation measures and habitat restoration activities** 3. Hold regional meetings to plan the management of selected shrimp & ground fisheries areas guided by governance arrangements and EAF approach* 4. Implement stress reduction actions to reduce pollution levels and enable rehabilitation of fisheries (to address ecosystem threats) at sub-regional and national levels 	Implementation of a financial framework for funding provided to maintain and restore natural resources.	FAO	Ministry of Agriculture, Husbandry & Fisheries	<p>\$300,000</p> <p>Donor Entity: UNDP/GEF/Gov's /Other/CSO/Academia</p> <p>Financing Modality: Trust fund project</p>	<p>Zojindra Arjune Fishery Department</p> <p>zojindra@gmail.com</p>
NAP ASGM Project	<ul style="list-style-type: none"> - Supporting Suriname to meet Minamata convention requirements and address Mercury problem. - Development of a National Action Plan (NAP) for Artisanal and Small-Scale Goldmining. 	Development of a National Action Plan for mining sector.	UNDP	NIMOS, Cabinet President, Ministry of Natural Resources	<p>\$420,653</p> <p>Financing Modality: Agency Specific</p>	<p>Cedric Nelom NIMOS</p> <p>cnelom@nimos.org</p>

Fisheries Information Technology Innovations for Resource Management and Climate Change Adaptation in the Caribbean (FIT4CC) 1 mil Euro	- Resource management and climate change adaptation	Sustainable management of resources	FAO	Ministry of Agriculture, Husbandry & Fisheries	\$30,666 Donor Entity: EU/FAO Financing Modality: Trust fund project	Mr. Mario Yspol marioyspol@gmail.com
Suriname Agriculture Market Access Project (SAMAP)	Improved capacity of the agricultural sector to produce good for local consumption and export. Improved legal framework and institutional capacity for food safety.	Agriculture sector	FAO	Ministry of Agriculture, Husbandry & Fisheries, Ministry of Trade, Industry & Tourism, Ministry of Health	\$8,255,012 Donor Entity: GCP/EU Financing Modality: Trust fund project	Mrs. Tania Liew A Sjoe t.lieuwasoe@gmail.com
CREW+ An integrated approach to water and wastewater management using innovative solutions and promoting financing mechanisms in the Wider Caribbean Region	1 national development plan incorporating multi-sectoral approaches to IWWM; 1 financing action plan and business model to address IWWM including reuse; Improve understanding on financing options in rural and peri-urban areas for IWWM	Sustainable management of resources; land degradation; Promoting financing mechanisms	UNEP	Office of the President of the Republic of Suriname	\$14,920,184 Donor Entity: GEF Financing Modality: GEF Trust fund Expected to start in July 2020	Ms. Nataly Plet nataly_plet@yahoo.com

Annex 2. Results Framework

2.1. Targets for Joint SDG Fund Results Framework

Joint SDG Fund Outcome 1: Policies and programmes for climate change adaptation, disaster risk reduction and universal access to clean and sustainable energy in place.

- **Joint SDG Fund Output 3:** Forest Management and Conservation under REDD+

Indicators	Targets	
	2020	2022
3.1: IP and Tribal Peoples satisfactory rating of engagement	2	3
3.2: Status of implementation national REDD+ strategy	5%	25%
3.3: # of NFMS actions under implementation	10	20

- **Joint SDG Fund Output 7:** Strengthened capacity to enhance environmental funds and resource base to address environmental challenges

Indicators	Targets	
	2020	2022
7.1: capacities strengthened	2	4

Joint SDG Fund Outcome 2: Inclusive and sustainable solutions adopted for the conservation, restoration and use of ecosystems and natural resources.

- **Joint SDG Fund Output 6:** Institutional and technical capacity of central and district government institutions to monitor ASGM, to promote environmentally responsible practices and to coordinate their actions increased

Indicators	Targets	
	2020	2022
6.1: Level of institutional capacity for planning, management and dissemination of environmentally responsible ASGM and for inter-institutional cooperation among central government institutions with a mandate related to ASM	2	4

- **Joint SDG Fund Output 9:** Sustainable management of agriculture eco zones through promotion of responsible practices, livelihood enhancement and diversification

Indicators	Targets	
	2020	2022
9.1: Number of new laws and regulations regarding Agriculture, Forestry, Fisheries and Environmental protection issued in the current year.	3	4

- **Joint SDG Fund Output 12:** Strengthened national structures in the conservation and use of forest and non-timber forest products

Indicators	Targets	
	2020	2022
12.1: Establishment of new log tracking system	3	5

Joint SDG Fund Operational Performance Indicators

- Level of coherence of UN in implementing programme country¹
- Reduced transaction costs for the participating UN agencies in interaction with national/regional and local authorities and/or public entities compared to other joint programmes in the country in question
- Annual % of financial delivery
- Joint programme operationally closed within original end date
- Joint programme financially closed 18 months after their operational closure
- Joint programme facilitated engagement with diverse stakeholders (e.g. parliamentarians, civil society, IFIs, bilateral/multilateral actor, private sector)
- Joint programme included addressing inequalities (QCPR) and the principle of “Leaving No One Behind”

¹ Annual survey will provide qualitative information towards this indicator.

- Joint programme featured gender results at the outcome level
- Joint programme undertook or draw upon relevant human rights analysis, and have developed or implemented a strategy to address human rights issues
- Joint programme planned for and can demonstrate positive results/effects for youth
- Joint programme considered the needs of persons with disabilities
- Joint programme made use of risk analysis in programme planning
- Joint programme conducted do-no-harm / due diligence and were designed to take into consideration opportunities in the areas of the environment and climate change

2.2. Joint programme Results framework

Result / Indicators	Baseline	2020 Target	2022 Target	Means of Verification	Responsible partner
Outcome: A more sustainable financial system in Suriname that will reorient the flow of international and local resources toward more inclusive and sustainable actions					
<u>Outcome indicator</u> A strategy that addresses a sustainable financial system for funding inclusive and sustainable actions	1	2	3	A pipeline of impact-driven inclusive sustainable actions.	UNEP, UNEP FI, UNDP, FAO and UNFPA
Output 1: National Roadmap framework					
<u>Output 1.1 indicator</u> A Roadmap available and under implementation	National REDD+ Strategy	Draft Roadmap	Final Roadmap	The final roadmap	UNEP FI and UNDP
<u>Output 1.2 indicator</u> A gender analysis available including causes of gender inequality and opportunities for mitigation analysis	1	Draft gender responsive gaps analysis	Final gap analysis	The Gap analysis	UNFPA
<u>Output 1.3 Indicator</u> Survey with public and private sector on how they are integrating sustainability in their strategies, policies, products and training	0	Draft of private sector survey Draft public sector	Final of private sector survey Final of public sector survey	Reports on how the sectors are integrating sustainability	UNEP

Output 1.4 Indicator Regulatory framework pertaining to financial mechanism, analyzed through desk research	0	Draft legislation of financing mechanism	Final Law on financing mechanism	A legal document	Lead: UNEP UNFPA
Output 2: A network/platform for dialogue, coordination and facilitation with international and multilateral institutions and financial arrangements.					
Output 2.1 indicator Number of networks/platforms established to promote green economy, eco-efficiency, systems for social and environmental analysis, climate risks, green bonds, and sustainable financing	1	8 Capacity building training - Workshops, 2 sensitization campaigns,	4 networks/platforms established and 160 people trained in green economy, eco-efficiency, systems for social and environmental analysis, climate risks, sustainable finance, green bonds	Workshop Reports on capacity building and meetings. Terms of References for the networks/platforms. Report on lessons learned.	UNEP FI and UNFPA
Output 2.2 indicator Number of multi stakeholder dialogues on a sustainable finance roadmap for the next 3 years	1	4 dialogues/roundtables	2 Platforms established for dialogues and exchange	Terms of references for the platforms. Report on meetings and dialogues	UNDP, UNFPA and FAO
Output 2.3 indicator Number of networks established with key partners in the industry (e.g. the Task Force on Climate-related Financial Disclosures, Network for Greening the Financial System, and the Financial Centres for Sustainability.)	0	0	1 Operational Network	Report on meetings with partners. Review of networks.	UNEP, UNEP FI, and UNDP
Output 3: A Green Agreement and Public Policies					
Output 3.1 indicator A Green Agreement that defines a minimum standard/requirements for specific sectors (mitigating risks and creating opportunities).	0	A draft green agreement	A final green agreement completed and implements	Green Agreement Document	UNEP FI

Output 3.2 indicator Have a regulation in place, to enable the economic sectors and the financial system to implement the sustainable financial framework	0	A draft of regulation	Final regulation in place	A legal document	UNEP
Output 3.3 Have a gender sensitive policy and regulation in place, to create the right gender-based signals	0	A draft of regulation	Final regulation in place	A gender policy	UNFPA

Annex 3. Gender marker matrix

Indicator		Score	Findings and Explanation	Evidence or Means of Verification
N°	Formulation			
1.1	Context analysis integrate gender analysis	3	Data and analysis gap on employment barriers and barriers related to accessing resources to guide evidence based policy making and regulations in accordance with national laws	Gender analysis reports on accessibility to services and resources including underlying causes; cost efficiency and effectiveness analysis to inform regulations; gender sensitive policy and regulations
1.2	Gender Equality mainstreamed in proposed outputs	3	All programme outputs have a clear gender component mainstreaming gender equality and the empowerment of women	Status and monitoring reports
1.3	Programme output indicators measure changes on gender equality	2	One third of the output indicators measure changes in Gender equality	Status and monitoring reports

2.1	PUNO collaborate and engage with Government on gender equality and the empowerment of women	3	National Women's machinery including Gender research institute and Women NGO's will be strengthened, they will be consulted and will be engaged for programmatic decision making.	Status and monitoring reports
2.2	PUNO collaborate and engages with women's/gender equality CSOs	3	National Women's machinery including Gender research institute and Women NGO's will be strengthened, they will be consulted and will be engaged for programmatic decision making.	Status and monitoring reports
3.1	Program proposes a gender-responsive budget	2	At least 30% of the total budget will contribute to gender equality or women's empowerment	Financial, status and monitoring reports
Total scoring		--		

Annex 4. Budget and Work Plan

4.1 Budget per UNSDG categories

The overall cost of the programme is **USD \$870,000** of which **USD \$662,000** is requested from the joint SDG Fund and USD **\$208,000** is PUNO's contribution. This contribution amount complements the activities, as well as technical assistance to ensure adequate implementation of the activities. Contribution from the Joint SDG Fund is distributed between the four PUNOs: **UNEP \$200,000, UNDP \$162,000, FAO \$200,000, and UNFPA \$100,000.**

PUNO's will also contribute in terms of co-financing through their parallel on-going programming (in the areas of Climate Change, integrated approach to water management using innovative solutions and promoting financing mechanisms, Biodiversity Conservation and REDD+).

66% (\$438,477) of the budget corresponds to Year 1 and 34% (\$223,523) of the budget corresponds to Year 2.

UNDG BUDGET CATEGORIES	PUNO 1: UNEP		PUNO 2: UNDP		PUNO 3: FAO		PUNO 4: UNFPA		TOTAL	
	Joint SDG Fund (USD)	UNEP - PUNO Contr. (USD)	Joint SDG Fund (USD)	UNDP - PUNO Contr. (USD)	Joint SDG Fund (USD)	FAO - PUNO Contr. (USD)	Joint SDG Fund (USD)	UNFPA - PUNO Contr. (USD)	Joint SDG Fund (USD)	Total PUNO Contr. (USD)
1. Staff and other personnel (Project Manager; Admin/Finance Officer)	\$9,275		\$40,485		\$0		\$15,000		\$64,760	
2. Supplies, Commodities, Materials	8,400		5,000		0		24,000		37,400	
3. Equipment, Vehicles, and Furniture (including Depreciation)	1,900		17,500		0		0		19,400	
4. Contractual services (including consultants)	129,925		45,000		69,000		28,460		272,385	
5. Travel	28,500	\$60,000	33,000	\$75,000	29,916	\$58,000	17,000	\$15,000	108,416	\$208,000
6. Transfers and Grants to Counterparts	0		0		88,000		0		88,000	
7. General Operating and other Direct Costs	8,916		12,500		0		9,000		30,416	
Total Direct Costs	186,916		153,485		186,916		93,460		620,777	
8. Indirect Support Costs (Max. 7%)	13,084		8,515		13,084		6,540		41,223	
Total Costs	\$200,000		\$162,000		\$200,000		\$100,000		\$662,000	
<i>1st year</i>	\$100,000		\$162,000		\$100,000		\$100,000		\$462,000	
<i>2nd year</i>	<u>\$100,000</u>		<u>\$0</u>		<u>\$100,000</u>		<u>\$0</u>		<u>\$200,000</u>	
Total	\$200,000		\$162,000		\$200,000		\$100,000		\$662,000	

4.2 Budget per SDG targets

The joint programme contributes directly to the acceleration of Sustainable Development Goals - 5 Gender Equality, 11 Sustainable Cities and Communities, 13 Climate Action, 15 Life on Land, and 17 Partnership for the Goals. From the total budget of \$872,229, **30% (\$261,000)** is allocated towards **SDG 5**, **12% (\$104,400)** is allocated towards **SDG 11**, **14% (\$121,800)** is allocated towards **SDG 13**, **14% (\$121,800)** is allocated to **SDG 15**, and **30% (\$261,000)** is allocated towards **SDG 17**. The lion share of the budget is allocated to gender-focused and partnership-focused Goals and reflects the importance that is attached to ensuring gender responsiveness in the work we do as well as the need for partnerships in mobilizing support and resources for implementing the Sustainable Development Goals in Suriname.

SDG TARGETS		%	USD \$
5	Gender Equality	30%	\$261,000
11	Sustainable Cities and Communities	12%	\$104,400
13	Climate Action	14%	\$121,800
15	Life on Land	14%	\$121,800
17	Partnerships for the Goals	30%	\$261,000
TOTAL		100%	\$870,000

4.3 Work plan

Outcome 1: A more sustainable financial system in Suriname that will reorient the flow of international and local resources toward more inclusive and sustainable actions																	
Output 1	Annual targets		List of activities	Time frame								PLANNED BUDGET				PUNO's involved	Implementing partners involved
	Year 1	Year 2		Q1 - Oct 20	Q2 - Jan 21	Q3 - Apr 21	Q4 - Jul 21	Q1 - Oct 21	Q2 - Jan 22	Q3 - Apr 22	Q4 - Jul 22	Overall budget description	Joint SDG Fund (USD)	PUNO Contr. (USD)	Total cost (USD)		
National Roadmap	\$134,453		1.1 A gap analysis – diagnosis - to establish how the current system's performance is aligned with the SDGs and their implementation. Develop and apply the Survey, conduct interviews and prepare first report	X	X							1. Staff and other personnel 2. Supplies, Commodities, Materials 3. Equipment, Vehicles, and Furniture (including Depreciation) 4. Contractual services 5.Travel 6. Transfers and Grants to Counterparts 7. General Operating and other Direct Costs 8. Indirect Support Costs (Max. 7%)	\$33,139 3,300 18,000 45,000 20,000 0 8,301 6,713	\$58,901		UNEP FI and UNDP	Government of Suriname: Ministry of Foreign Affairs; Ministry of Finance; Coordination of Environment under the Cabinet of the President; Planning Office; National Institute for Environment and Development in Suriname; Ministry of Physical Planning, Land and Forest Management
	\$42,800		1.2. Gender analysis including causes of gender inequality and opportunities for mitigation	X	X							1. Staff and other personnel 2. Supplies, Commodities, Materials 3. Equipment, Vehicles, and Furniture (including Depreciation) 4. Contractual services 5.Travel 6. Transfers and Grants to Counterparts 7. General Operating and other Direct Costs 8. Indirect Support Costs (Max. 7%)	\$15,000 0 0 15,000 5,000 0 5,000 2,800	\$6,420		UNFPA	
	\$17,371		1.3 Survey with public and private institutions to understand how they are integrating sustainability in their strategies, policies, products and training.	X	X							1. Staff and other personnel 2. Supplies, Commodities, Materials 3. Equipment, Vehicles, and Furniture (including Depreciation) 4. Contractual services 5.Travel 6. Transfers and Grants to Counterparts 7. General Operating and other Direct Costs 8. Indirect Support Costs (Max. 7%)	\$0 1,000 0 12,235 1,000 0 2,000 1,136	\$5,211		UNEP	
	\$20,330		1.4 desk research to analyze the regulatory framework, the most important sectors, and the financial mechanisms most used in the country	X	X	X							1. Staff and other personnel 2. Supplies, Commodities, Materials 3. Equipment, Vehicles, and Furniture (including Depreciation) 4. Contractual services 5.Travel 6. Transfers and Grants to Counterparts 7. General Operating and other Direct Costs 8. Indirect Support Costs (Max. 7%)	\$0 1,000 0 11,000 5,000 0 2,000 1,330	\$4,654		
	\$214,954	\$0										\$214,954	\$75,186	\$290,141			

Outcome 1: A more sustainable financial system in Suriname that will reorient the flow of international and local resources toward more inclusive and sustainable actions																	
Output 2	Annual targets		List of activities	Time frame							PLANNED BUDGET				PUNO's involved	Implementing partners involved	
	2020	2021		Q1 - Oct 20	Q2 - Jan 21	Q3 - Apr 21	Q4 - Jul 21	Q1 - Oct 21	Q2 - Jan 22	Q3 - Apr 22	Q4 - Jul 22	Overall budget description	Joint SDG Fund (USD)	PUNO Contr. (USD)			Total cost (USD)
A network/platform for dialogue, coordination and facilitation with international and multilateral institutions and financial arrangements.	\$58,433	\$58,433	2.1 Capacity building - Awareness events, workshops, dialogues and training for all relevant stakeholders about green economy, eco-efficiency, systems for social and environmental analysis, climate risks, sustainable finance, green bonds.		X	X	X	X	X	X		1. Staff and other personnel 2. Supplies, Commodities, Materials 3. Equipment, Vehicles, and Furniture (including Depreciation) 4. Contractual services 5.Travel 6. Transfers and Grants to Counterparts 7. General Operating and other Direct Costs 8. Indirect Support Costs (Max. 7%)	\$2,500 21,500 1,000 70,220 11,000 0 3,000 7,645	\$31,529		UNEP FI and UNFPA	Government of Suriname: Ministry of Foreign Affairs; Ministry of Finance; Coordination of Environment under the Cabinet of the President; Planning Office; National Institute for Environment and Development in Suriname; Ministry of Physical Planning, Land and Forest Management; IADB, Cartagena Convention/Caribbean Environment programme
	\$115,681	\$115,681	2.2 Multi-stakeholder dialogues to build on a sustainable finance roadmap for the next 2 years.		X	X	X	X	X	X		1. Staff and other personnel 2. Supplies, Commodities, Materials 3. Equipment, Vehicles, and Furniture (including Depreciation) 4. Contractual services 5.Travel 6. Transfers and Grants to Counterparts 7. General Operating and other Direct Costs 8. Indirect Support Costs (Max. 7%)	\$5,061 3,250 0 81,000 34,916 88,000 4,000 15,136	\$69,041	\$505,454	UNDP, FAO and UNFPA	
	\$20,022	\$20,022	2.3. Establish networks with e.g. the Task Force on Climate-related Financial Disclosures, Network for Greening the Financial System, and the Financial Centres for Sustainability, HFLD, Forest4Life partnership, Intact Forest/UNFFF, SCF Green Partnership programme, Global Compact/CMM/ARPEL		X	X	X	X	X	X		1. Staff and other personnel 2. Supplies, Commodities, Materials 3. Equipment, Vehicles, and Furniture (including Depreciation) 4. Contractual services 5.Travel 6. Transfers and Grants to Counterparts 7. General Operating and other Direct Costs 8. Indirect Support Costs (Max. 7%)	\$5,060 3,750 0 5,000 20,500 0 3,115 2,620	\$16,611		UNEP FI, UNEP and UNDP	
	\$194,137	\$194,137										\$388,273	\$117,181	\$505,454			
A Green Agreement and Public Policies	\$11,361	\$11,361	3.1 Develop a Green Agreement that defines a minimum standard/requirements for specific sectors (mitigating risks and creating opportunities)			X	X	X	X			1. Staff and other personnel 2. Supplies, Commodities, Materials 3. Equipment, Vehicles, and Furniture (including Depreciation) 4. Contractual services 5.Travel 6. Transfers and Grants to Counterparts 7. General Operating and other Direct Costs 8. Indirect Support Costs (Max. 7%)	\$2,000 800 200 12,235 5,000 0 1,000 1,486	\$6,816		UNEP FI	Government of Suriname: Ministry of Foreign Affairs; Ministry of Finance; Coordination of Environment under the Cabinet of the President; Planning Office; National Institute for Environment and Development in Suriname; Ministry of Physical Planning, Land and Forest Management
	\$11,361	\$11,361	3.2. Develop regulation that can create the right signal for economic sectors and financial system, including new kinds of financial mechanisms.			X	X	X	X			1. Staff and other personnel 2. Supplies, Commodities, Materials 3. Equipment, Vehicles, and Furniture (including Depreciation) 4. Contractual services 5.Travel 6. Transfers and Grants to Counterparts 7. General Operating and other Direct Costs 8. Indirect Support Costs (Max. 7%)	\$2,000 800 200 12,235 5,000 0 1,000 1,486	\$6,816	\$74,406	UNEP	
	6,665	6,665	3.3 Develop a gender sensitive policy and regulation that can create the right gender-based signals			X	X	X	X	X			1. Staff and other personnel 2. Supplies, Commodities, Materials 3. Equipment, Vehicles, and Furniture (including Depreciation) 4. Contractual services 5.Travel 6. Transfers and Grants to Counterparts 7. General Operating and other Direct Costs 8. Indirect Support Costs (Max. 7%)	\$0 2,000 0 8,460 1,000 0 1,000 870	\$2,000		
	\$29,386	\$29,386										\$58,773	\$15,633	\$74,406			
	\$438,477	\$223,523										\$662,000	\$208,000	\$870,000			

Annex 5. Risk Management Plan

Continued commitment of the government and other partners to strengthen capacities, reflected in corresponding fiscal policies and related reforms, is essential for not only successfully implementing the Joint Programme, but also sustaining results for a sustainable financial framework. The risk of lacking resources (or their allocation) will be mitigated by working and coordinating closely with governments and relevant partners beyond the already organized consultations and alignment with national strategies and priorities, as well as by supporting ongoing budget analysis and fiscal space to ensure efficient allocation and use of available resources.

The Joint Programme assumes that development partners will support the programme and its integration within existing and forthcoming initiatives and investments in the country. Associated with this are potential institutional risks in terms of accountability and governance. The partners aim to mitigate these risks by ensuring an effective, multi-sectoral coordination mechanism, including monitoring and reporting on planned results and complementary activities, and building on the UNCT mechanism to address bottlenecks and barriers to implementation in the broader partner context.

Risks	Risk Level:	Likelihood:	Impact:	Mitigating measures	Responsible Org./Person
Contextual risks					
Natural disasters Floods, storms, hurricanes -Climate Change	High	4	4	Disaster risk preparedness measures are in place and capacity of first responders strengthened; Capacity for resilience - ecosystem based adaptation is promoted	UNEP, UNEP FI, UNDP, FAO, UNFPA, Relevant government agencies and regional agencies like CDEMA
Low political will and resistance to Developing Roadmap for Financial Mechanism	Medium	3	4	Sensitize the private sector, government and other stakeholders on the benefits of the roadmap and maintain active communication and good relations with	UNEP, UNEP FI, UNDP, FAO, UNFPA, government, private sector DFIs

				them and engage them in the preparations of the project	
Programmatic risks					
Poor implementation and monitoring	Medium	1	2	The Steering Committee of the meeting, the UNCT are providing guidance and advice to project implementation and ensuring proper monitoring	UNEP, UNEP FI, UNDP, FAO, UNFPA, related government agencies DFIs
Insufficient project personnel/expertise to implement the project	Low	2	2	Ensure transparent recruitment of project personnel based on terms of reference backed by technical expertise of the UN agencies, DFI. International personnel will be considered in absence national expertis	UNEP, UNEP FI, UNDP, FAO, UNFPA, related government agencies DFIs
Institutional risks					
Lack of institutional capacity to implement joint programme	Medium	3	3	Strengthen capacity and set up a programme management unit in the key Ministry to ensure smooth implementation	UNEP, UNEP FI, UNDP, FAO, UNFPA, related government agencies DFIs
Lack of sufficient legislation to enable development of financial mechanism	Medium	1	3	Engage with relevant government ministries to ensure facilitation	UNEP, UNEP FI, UNDP, FAO, UNFPA, related government agencies DFIs
Fiduciary risks					
Availability of resources	Low	1	1	Resources available from SDG Fund	UNEP, UNDP

Poor use/allocation of resources	Low	2	2	Implementation will be done according to the workplan and budget approved. The programme management unit guided by the steering committees to ensure proper implementation	UNEP, UNDP, relevant government ministry
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